

## **Impingement Syndrome & Rotator Cuff Tears**

### **Impingement Syndrome**

Encroachment of acromion, coracoacromial ligament, or acromioclavicular joint on the rotator cuff tendons and the sub-deltoid bursa that lie beneath them

#### **Causes of:**

Abnormal structure of acromion  
Humeral head depressor weakness/fatigue  
Glenohumeral instability  
Posterior capsule tightness  
Sub-acromial crowding  
Scapular stabilizer weakness/fatigue

#### **Neuromuscular Insufficiency**

Functional impingement due to muscular imbalance  
Shift in fast-twitch to slow twitch amounts  
Therapy in the early stages of impingement syndrome should focus on physiotherapy rather than decompression of the subacromial space.

#### **RTC Functions**

Stabilize the humerus in the glenoid fossa  
Weakness in RTC causes loss of compression/depression mechanism  
Results in superior shifting or migration of humeral head  
Primarily a problem with flex and ABD

#### **Glenohumeral Instability**

Compromise of capsulo-ligament-labral complex  
Results in abnormal translation following fatigue of dynamic stabilizers  
Causes superior translation of humeral head during elevation

#### **Posterior Capsule Tightness**

Loss of internal rotation and horizontal adduction ROM  
Causes pain at end of flexion due to impingement of humeral head on anterior-inferior acromion  
Normal post capsule elasticity allows humeral head to stay centralized during shoulder flexion  
Post capsule tightness results in superior migration causing impingement between soft tissues and acromion

#### **Rotator Cuff Impingement Continuum**

Impingement – Inflammation – Tendonitis - Fibrotic scar tissue formation - Bone spur  
Partial RTC tear - Full-thickness RTC tear

#### **Symptoms:**

Pain  
Acute or excruciating  
Chronic low-grade aching  
Pain in lateral arm (deltoid insertion)  
Rarely referred below elbow  
May have pain posterior deltoid and periscapular  
Difficulty sleeping  
Decreased range-of-motion  
Active abduction and external rotation

“painful arc” 70-120° of elevation as inflamed tendons pass under CA arch  
PROM generally full without pain  
+ impingement tests  
Muscle dysfunction  
MMT of supraspinatus will be pain and or weakness  
Weakness without pain suggest rupture or neurological injury  
Pain without weakness suggests active tendonitis  
Pain with weakness suggests partial tear  
Crepitus with rotation of the humerus  
Tendon and bursal thickening  
Significant crepitus may be associated with spur formation or full-thickness RTC tears

### **Activities of Impingement**

Throwing Sports; Swimming; Tennis; Vocation

## **Two Major Categories of Impingement: Classic vs. Secondary**

### **Classical or Primary Impingement**

Impingement of the supraspinatus against the coracoacromial arch during activities that require shoulder abduction and some forward flexion

Can be due to several factors:

Decreased caudal glide of the glenohumeral joint

Tightness of the posterior capsule

Acromion morphology

### **Post Capsule Tightness**

Normal post capsule elasticity allows humeral head to stay centralized during shoulder flexion

Post capsule tightness results in superior migration causing impingement between soft tissues and acromion

### **Secondary Impingement**

The relative decrease in subacromial space due to another pathology or condition, e.g. instability

### **Neer’s classification Stage I**

#### **Characteristics:**

Edema, inflammation and hemorrhage

Age <25 years old

Clinical Course: Reversible pathology

Therapy: Non-surgical, physical therapy

### **Neer’s Classification Stage II**

#### **Characteristics:**

Thickening of bursa with fibrosis and tendonitis

Age 25-40 years old

Uncomplicated impingement

Clinical Course: Recurrent Pain with activity

Therapy: Patient may require surgical intervention. Partial acromioplasty with bursectomy and CA ligament resection with debridement

### **Neer’s Classification Stage III**

#### **Characteristics:**

Bone spurs with tendon rupture

Age >40 years old

Complicated impingement

Clinical Course: Progressive disability

Therapy: Don't do well with PT alone Generally need anterior acromioplasty with RTC repair followed by PT

### **RTC Tendinitis**

Inflammation of any of the four RTC tendons

Most commonly supraspinatus

Avascularity of distal portion of tendon

Distal 1-cm "critical zone" due to poor perfusion

Constantly under tension to prevent downward subluxation force of gravity

#### **Mechanical Causes of Disruption of Vascularity**

Significant tension and compression during abduction

Significant tension during adduction

### **Scapular Dyskinesia**

#### **Inferior Angle (Type I)**

At rest, the inferior medial scapular border may be prominent dorsally.

During arm motion, the inferior angle tilts dorsally and the acromion tilts ventrally over the top of the thorax.

The axis of rotation is in the horizontal plane.

#### **Medial Border (Type II)**

At rest, the entire medial border may be prominent dorsally.

During arm motion, the medial scapular border tilts dorsally off the thorax.

The axis of rotation is vertical in the frontal plane

#### **Superior Border (Type III)**

At rest, the superior border of the scapula may be elevated and the scapula can also be anteriorly displaced.

During arm motion, a shoulder shrug initiates movement without significant winging of the scapula occurring.

The axis of this motion occurs in the sagittal plane

#### **Symmetric Scapulohumeral (Type IV)**

At rest, the position of both scapulae are relatively symmetrical, taking into account that the dominant arm may be slightly lower.

During arm motion, the scapulae rotate symmetrically upward such that the inferior angles translate laterally away from the midline and the scapular medial border remains flush against the thoracic wall.

The reverse occurs during lowering of the arm.

### **Reliability of Scapular Classification Systems**

#### **Causes of Scapular Winging**

Long thoracic nerve palsy; Serratus anterior weakness

#### **Lateral Scapular Slide Test**

Used to determine the stability of scapula during GH movements

Designed to quantitatively measure scapular stabilizer strength by evaluating scapular symmetry as various loads are placed on surrounding musculature

Patient stands with arm resting at side

Examiner measure the distance from the base of the spine of scapula to spinous process of T2-3, from inferior angle of scapula to spinous process of T7-9, or from T2 to superior angle

of scapula

Kibler described 3 positions, resting arms at side, 45° abduction with hands on hips and thumbs posteriorly, and 90° abduction with medial humeral rotation

Davies and Dickoff-Hoffman suggest measuring also at 120° and 150° of abduction

Kibler, Davies and Dickoff-Hoffman suggest that each position should not vary greater than 1.0-1.5 cm for each side

Greater distance may be seen above 90° of abduction as the scapula rotates more during normal scapulohumeral rhythm

Intratester ICC's 0.81-0.95

Intertester ICC's 0.18-0.69

Intratester ICC's: 0.75-0.80 w/o impairment

Intratester ICC's: 0.52-0.66 with impairment

Intertester ICC's: 0.43-0.74 w/o impairment

Intertester ICC's: 0.45-0.79 with impairment

### **Acromion Morphology**

Shapes: Type I = Flat; Type II = Smooth Curve; Type III = Anterior Hook

### **Acromion Types**

Acromial types II and III have an increased incidence of RTC tears and impingement lesions.

### **Acromion humeral interval**

Glenohumeral joint at 0° Abd x = 11mm

Glenohumeral joint at 90° Abd x = 5.7mm

### **Outcomes of Non-operative**

#### **Anterior Acromioplasty**

Most widely used surgical procedure for pain due to primary impingement

Can be performed open or arthroscopically

Arthroscopic technique advantages:

Function of deltoid less interrupted

Less scarring

Glenohumeral joint easily evaluated

Disadvantages

Technically demanding

Difficult to assess how much acromion has been removed

#### **Davies Empirical Observations Concerning Acromioplasty**

DOS: Post-operative soreness for several days

Wk 1-2: Symptoms decrease for first time

Wk 2-4/6: Symptoms return

Wk 6-8: Symptoms slowly decrease 2° to synovialization or pseudomembrane developing over anterior edge of acromion which is now bare denuded bone with exposed free nerve endings

#### **Rehabilitation Considerations**

Mobilizations: Caudal glides; Posterior glides; Accessory joints

S-T joint -usually hypermobile 2° to compensation

Strengthening of RTC musculature

PRICE: Protection; Relative rest; Ice; Compression; Elevation  
Modify biomechanical problems  
Kinesthesia and proprioception  
Progressive return to activity

**HYPERMOBILITY: 2° Impingement; Hypermobility Syndrome: (HMS)  
Beighton Scale**

Passive extension of 5<sup>th</sup> metacarpophalangeal joint past 90 degrees  
1-point for each for total of 2 points  
Passive opposition of thumb to forearm.  
1-point for each for total of 2 points  
Hyperextension of elbow past 10 degrees  
1-point for each elbow  
Hyperextension of the knee past 10 degrees  
1-point for each  
Trunk flexion so that hands/palms can be placed flat on the floor  
1-point if can be done  
Each limb separately for first 4 items, generating a possible score of 9  
No universal agreement on threshold for HMS  
Most use a 5/9 or 6/9 to indicate HMS

**Causes of Hypermobility/Secondary Impingement**

Decreased dynamic caudal glide  
Posterior RTC weakness  
Osseous deformity (Type II or III acromion)  
Non-contractile posterior capsule/ligament tightness

**Generalized Ligamentous Laxity or Hypermobility Syndrome**

Hard to find!  
Ill defined diagnostic criteria  
Lack of radiological or laboratory findings  
Diagnosis made through exclusion  
Often do not have decreased mobility like so many other orthopedic conditions  
May not have inflammation like others

**Classifications of Shoulder Instability**

Normal  
Physiological laxity  
Micro-subluxation  
Subclinical macro-subluxation  
Subluxation/subluxator/partial dislocator  
Dislocator/luxator

**2° Impingement**

Hypermobility or 2° impingement mainly causes lesions to the inferior surface or the articular side of the rotator cuff musculature  
75% of symptomatic impingement syndrome is due to type II and III acromion morphology  
An outlet x-ray view can be used for diagnosis

**Microsubluxator**

No overt instability on clinical exam  
Symptomatic shoulder  
Testing selected parameters during controlled conditions (clinical exam) may not reveal the true degree of shoulder functional impairment since instability occurs during uncontrolled movements (tennis serve, baseball pitch)

## **The Relationship Between Shoulder Instability and RTC Impingement**

1. Static stabilizers stretched = 1° problem
2. Increased translation of GH joint
3. RTC fatigue while attempting to limit translation
4. Overuse tendonitis results
5. Tensile changes occur (tendon fibers fail)
6. During elevation and rotation, the rotator cuff can't control the humeral head
7. Anterior-superior head migration occurs.
8. Further dysfunction in mm control reduces scapular rotation (changes location of acromion)
9. Acromion limits forward flexion
10. Impingement syndrome occurs as a 2° process

## **Rehab Considerations for Hypermobility Impingement**

Triad of Treatment

Neuromuscular (kinesthetic) stability

Noncontractile stability

Contractile stability

Specific Goals

Increased dynamic caudal glide

Increased mobility and flexibility

Create posterior dominant shoulder

Increase IR strength to decrease anterior translation

## **Internal (Inside) Impingement Syndrome**

Mechanism of injury: When the shoulder is in a 90/90 position with horizontal extension a compressive force is created between the RTC (supraspinatus/infraspinatus) on the posterior superior glenoid labrum

**Differential diagnosis:** rule out posterior capsulitis, infraspinatus/teres minor strains, posterior labrum tear, posterior instability, quadrilateral space syndrome

Patient will complain of pain to palpation to the posterior undersurface of the acromion

Patient will have a positive Jobes subluxation/relocation test, **BUT**, the pain will be all posterior

Increased pain with the Jobe subluxation test, but pain posteriorly

When relocation test is performed posterior pain will decrease or be eliminated

## **Proposed mechanisms of posterior internal impingement**

Anatomic causes

Anterior laxity

Posterior capsular hypomobility

Hyperangulation during throwing

Increased horizontal extension

Over-rotation theory

Repetitive microtraumatic theory

### **Clinical presentation:(Clusters of S & S)**

Pain in posterior shoulder (deep to post/lat acromion)

Pain with excessive ER at 90° abduction

Positive Jobe subluxation/relocation test (posterior pain)

Excessive ER, limited IR

This occurs on the articular side of the RTC tendon  
The undersurface of the supraspinatus and infraspinatus “impinge” on the posterior superior labrum

### **Posterior Internal Impingement Rehabilitation**

Identify the cause: hypo vs hyper (?)

Change the causative factor

Hypo – Treat like Primary Impingement

Hyper – Treat like Secondary Impingement

Dynamic stability

Proprioceptive/kinesthetic training

Neuromuscular reactive training

Functional rehabilitation

### **Systematic Review**

Only RCTs found

Level 1 and 2 studies

Effectiveness determined by statistical and clinical significance

Exercise has significant effects on pain reduction and improved function

No effects on ROM or strength!

Manual therapy augments exercise

Supervised PT no different than HEP!

Exercise has significant effects on pain reduction and improved function

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Supervised PT no different than HEP!

### **Criteria Based Rehabilitation Program**

Arrigo CA, Wilk KE. Shoulder exercises: A criteria-based approach to rehabilitation. In: *Orthopedic Therapy of the Shoulder*. Kelley MJ, Clark WA. Lippincott Williams and Wilkins, 1995.

#### **Phase I: Immediate Motion Phase**

Goals

Re-establish non-painful ROM

Retard muscular atrophy

Decrease pain/inflammation

#### **Exercises**

AAROM in protected non-painful range

Sagittal, scapular plane, IR/ER

IR/ER began at 0°, then 45° and finally 90°

Weight bearing activities such as kneeling or standing at a table with weight through hands as tolerated

Scapular plane exercises

Scapular upward rotation is better in scapular plane than in sagittal plane.

Initially any overhead rehabilitation activities should be performed in scapular plane in patients with RTC tend.

Pain free, sub-maximal isometric contractions at multiple angles

Abduction at 30° and 60°

Supraspinatus at 30° and 60°

ER at 0° of abduction  
IR at 0° of abduction  
Biceps isometrics  
Criteria to advance to Phase II  
Full non-painful ROM  
Minimal tenderness and pain on clinical examination  
Good strength - MMT (4/5) of shoulder internal and external rotators

### **Phase II: Intermediate Exercise Phase**

Goals  
Improve muscular strength  
Improve muscular endurance  
Improve neuromuscular control of the entire shoulder complex

### **Phase II: Intermediate Exercise Phase**

#### **Exercises**

Progress from submaximal isometrics to submaximal isotonic exercises  
Initiate scapulothoracic stabilization exercises  
Lighter weights/resistance for RTC muscles  
Somewhat heavier weights/resistance for prime movers  
Short-arc isotonics  
Abduction 30° to 90°  
Supraspinatus 30° to 90°  
Flexion 30° to 90°  
ER at 30° with towel roll  
IR at 30° with towel roll  
Biceps isotonics at 35° to 40° of shoulder flexion  
D2 flexion RS at 30°, 60°, 90° and 120°

### **Phase II: Intermediate Exercise Phase**

Criteria to advance to Phase III  
Full non-painful ROM  
No pain or tenderness on clinical exam  
Strength that is 70% of contralateral shoulder or internal/external rotators, abductors/adductors

### **Phase III: Advanced Strengthening Phase**

Dynamic strengthening exercises and drills  
Goals  
Increase strength, power and endurance  
Improve neuromuscular control  
Prepare patient for return to functional activities  
Emphasis placed upon:  
high-speed, high-energy strengthening drills, eccentric muscular contractions, diagonal movements and functional patterns

#### **Exercises**

Isotonic dumbbell movements  
Resistive tubing exercises  
Concentric/eccentric contractions  
Isokinetics  
Plyometrics  
Neuromuscular control drills

Arm elevation from 0° to 60° isometric hold 2s  
 Arm elevation from 60° to 120° isometric hold  
 Supraspinatus from 0° to 60° isometric hold  
 Supraspinatus from 60° to 90° isometric hold  
 D2 flex UE, RS and SRH at 0°, 60°, 120° and 160°  
 Tubing D2 flex with isometric hold

### **Philosophy of Exercise Progression**

Simple	Complex
Proximal	Distal
Single-plane	Multiple-plane
Isometric stability	Isometric mobility
Stability	Mobility
Controlled mobility	Skill mobility
Controlled environment	Uncontrolled environment
Horizontal movements	Vertical movements
Unidirectional movements	Multi-direct movements

### **Rotator Cuff Tears, Repairs, and Post Operative Rehabilitation**

#### **Rotator Cuff Tears**

25% of individuals in 5<sup>th</sup> decade of life (40-49 years of age) have RTC tears.  
 Majority of those occur in individuals age > 45 due to attritional and mechanical factors  
 33% of shoulders of cadavers in the 50-60 years of age.  
 Cadavers > 70 years of age 100% had tears  
 Many of these tears go asymptomatic  
 May be associated with smoking, repeated steroid injections and systemic diseases such as RA, gout, and neurogenic disorders  
 The end result of a degenerative process

#### **Repair Outcomes**

Failure rates as high as 90%  
 Generally accepted rate of 25-40%

#### **Conservative Treatment Outcomes**

High correlation of tears and advanced age  
 Asymptomatic tears will become symptomatic over 2.8 years  
 39% of patients with repeat US will have progression  
 High correlation of tears and advanced age  
 Asymptomatic tears will become symptomatic over 2.8 years  
 39% of patients with repeat US will have progression

#### **Preoperative Expectations**

Pre-operative expectations quantified with use of 6 questions from musculoskeletal Outcomes Data Evaluation and Management System questionnaire  
 Greater preoperative expectation correlated with better postoperative performance on SST, DASH, VAS and SF-36  
 Patients preoperative expectations regarding rotator cuff repair are associated with their actual self assessed outcome

#### **Post-Operative Rehabilitation**

Gradual change

Emphasis on:  
Immediate motion  
Muscle activation  
Restricted functional activities  
Due to improved surgical technique

### **Primary Goals of Surgery/Rehab**

Restore functional abilities of the upper limb  
Maintain integrity of repair  
Reduce pain; muscle inhibition  
Re-establish passive mobility  
Re-establish muscular balance/motor control

With all the clinical protocols we have, protocols following rotator cuff repair are probably the most individualistic

This is due to several factors

### **Types of Repair**

Deltoid split: open vs. mini-open  
Deltoid taken down  
Arthroscopy

### **Tissue Quality**

Soft Tissue Integrity; Muscular; Osseous Tissue Integrity; Bony

### **Size of Tear**

Absolute Size  
Number of Tendons Involved

**Age and tear size** are significant factors in tendon healing capabilities.

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Biceps and AC joint pathology increased risk of poor tendon healing by 11 times that without associated injury

### **Location of Tear**

Which muscles were involved  
Isolated supraspinatus  
Supraspinatus and infraspinatus  
Subscapularis  
Combinations  
Supraspinatus isolated ~ 50% of time  
Can progress to include more cuff tendons  
58-80% continue posteriorly from supraspinatus into infraspinatus  
Anterior extension into subscapularis occurs with moderate frequency

### **Mechanism of Failure**

Traumatic (approximately 3-5%)  
Gradual progressive or degenerative

### **Surrounding Tissue Quality**

Integrity of infraspinatus, teres minor and subscapularis  
Important for force couples

### **Patient's Lifestyle and Vocation**

Strenuous activities (work, sports)  
Sedentary

### **Rehabilitation Potential**

Supervised rehabilitation  
Unsupervised rehabilitation

## **Philosophical Approach to Rx: ROTATOR CUFF TEARS**

## **Education**

Patients must be educated:

Sling use

Immobilization

Maximum improvement in pain and function may not occur until 1 year after surgery

## **Rehabilitation Following Rotator Cuff Repair Overhead Throwing Athlete**

In most cases, if an open repair is performed with an overhead throwing athlete, it would be a mini-open (deltoid splitting) or arthroscopic procedure to minimize the soft tissue morbidity; rather than the traditional open procedure where the anterior deltoid is taken down.

### **RTC surgery**

“Assuming that a technically adequate repair has been performed, the postoperative treatment has a direct bearing on the prevalence of shoulder stiffness after a repair of the RTC.” (Warner, *J Bone Joint Surg*, 1997)

## **Four Types of Tears**

Bursal side partial-thickness; Mid-substance; Articular side; Full-thickness tear

### **Rotator Cuff Tears**

Bursal side partial- thickness tear

More often occur at the musculo-tendinous junction

### **Partial Thickness Tear**

- 1)-Superficial fibers from CH ligament
- 2)-Superficial fibers of SS and IS
- 3)-Deeper fibers of SS and IS
- 4)-Deep extension of CH ligament

### **Acute extension of a tear**

Patient may relate their pain to a recent event

With continued questioning you may find a previous history of shoulder pain

This recent event may be the “straw that broke the camel’s back”

## **Tear Sizes**

Small - less than 1-cm

Medium sized tear – 1-3 cm

Large sized tear - 3-5-cm

Massive tear - > 5-cm

## **History**

Any event significant or not, followed by persistent shoulder pain

Pain worse with overhead or activities involving external rotation

Pain into lateral upper arm

Night time pain hallmark sign

Shoulder weakness and fatigue with ADL’s

## **Physical Presentation**

May have atrophy, depending on chronicity of tear

Tenderness along tuberosities and AC joint

Full-thickness tear may be palpable

Crepitus with elevation of arm

## **Range of Motion**

PROM generally greater than active

Loss of AROM

### **Manual Muscle Testing**

Weakness of external rotation without pain sign of non-reactive full-thickness tear

Weakness with pain may indicate reactive full-thickness tear of partial-thickness tear

### **Rotator Cuff Tear Rehabilitation**

Surgical techniques require special attention.

What tissue was split, released or taken down?

What type of fixation was used?

What was the size of the tear?

How long has patient been immobilized?

### **RTC Tears**

Generally partial or split thickness tears occur in overuse type of injuries

#### **Small Tears (< 1.0 cm):**

Arthroscopically performed

1 cm or smaller occur in middle aged patients

Usually tear along the lines of the mms fibers

May require deltoid splitting

Can be sutured so that the pull of the RTC mms contraction will not separate fixation

Time for rehab accelerated since deltoid is split rather than taken down

#### **Medium (1-3 cm) to large tears (3-5 cm)**

More recently done arthroscopically

May require deltoid to be taken down

Tear can be more perpendicular to line of pull of contraction of the RTC

Will need more protection during healing phase

3 weeks for soft tissue to take

6 weeks for maturation of deltoids and RTC to withstand arm against gravity

#### **Massive Tears 5 cm or more and re-do's**

Approximately six weeks should be allowed before moderate stress is applied to the repaired structures

12 weeks before lifting against gravity

### **Crescent shaped**

Free margin of cuff tendon attached directly to bone with suture from suture anchor

### **U-Shaped**

Side to side repair of lateral extent of tear leading to tear margin convergence

Repair free lateral margin of cuff tendon directly to bone with sutures from anchor

### **L-shaped**

Anchor placement corresponds to elbow of L followed by repair of soft tissue component of elbow of the L to that point

Then side to side repair followed by repair of remaining lateral margin to bone with suture from suture anchor

### **Arthroscopic Vs. Open**

Re-tear arthroscopic

Small single-tendon low as 29%

Massive – multiple tendons as high as 90%

Re-tear open

Small single-tendon low as 20-25%

Large > 1 tendon as high as 50%

**Which is more useful, the “full can test” or the “empty can” test, in detecting the torn supraspinatus tendon?**

Itoi E, Kido T, Sano A, Urayama M, Sato K. *Am J Sports Med* 1999;27(1):65-68

**Empty Can Test**

Clinical usefulness of “full can” vs. “empty can” in detection of torn supraspinatus

143 shoulders of 136 consecutive patients

Positive if pain, muscle weakness or both

Full can 75% accurate

Empty can 70% accurate

Pain in 43% with full can; 50% with empty can

Both tests equivalent in terms of accuracy

Considering pain provocation, full can test may be more beneficial in clinical setting

**Diagnosis of RTC Tears**

**Factors that determine RTC tears.**

Age greater than 60 years

Weakness in shoulder abduction

Positive impingement sign (Neer’s or HK)

**98% chance of full-thickness RTC tear**

Positive painful arc sign

A drop arm sign

Weakness in external rotation

**> 90% chance of having full-thickness RTC tear**

**POST OP PROTOCOL  
Evidence for Post Op Care**

Level I and II literature

4 studies

2- continuous passive motion

2- supervised vs unsupervised therapy

Huge need for well designed Level I and II trials

**CPM**

Level I study

32 patients – randomized into two groups

Control – standard PT

Experimental – standard PT + CPM for 3 weeks:8 hours per day

Mean age 56 years old

Subjective outcomes at 3 months

All improved with no significant differences between groups

Improved range of motion in males

Pain relief in females

Pain relief in those > 60 years of age

Level I RCT

14 men; 17 women: mean age 63 years

15 – passive ROM by clinician

17 – CPM; 4 hours/day for 4 weeks

After 4 weeks both continued identical protocol

Subjective outcomes, ROM, Strength  
Outcomes at 22 months  
No statistically significant difference between groups seen  
Manual PT may be more cost effective.

### **Supervised vs. Unsupervised**

Level II RCT  
Supervised PT vs unsupervised home program  
Outcome: ROM, muscle force, subjective  
Mean age 60 years  
26 standard supervised PT; 32 unsupervised  
24 week follow-up  
No SSD in groups for:  
ROM  
Muscle force  
Subjective functional outcomes

Level II study  
Videotape based HEP vs personal instruction by PT.  
54 videotape instruction; 54 personal instruction  
Data collected at 12, 24 and 52 weeks  
Outcomes: SPADI, U-Penn Shoulder Score  
No SSD in outcomes  
Assessors were not blinded to rehabilitation  
Power analysis was not done

### **Passive Range of Motion Rehabilitation PROM**

Use of early joint mobilization and ROM  
Prevent  
Adhesions  
Contractures  
Periarticular structures  
PROM exercises need to be started early in the rehabilitation program to prevent selective hypomobilities from developing  
Selective hypomobilities  
Create obligate GH translations

### **Obligate GH Translations**

Occur when capsule has asymmetrical tightness  
May be selective posterior or inferior  
Surgery probably did not change this tightness which could have been there for years

**Asymmetrical tightening of the capsule causes obligate translations in a direction opposite to the tight tissue constraint**

Shrinkage in the inferior pouch of the gleno-humeral joint (selective hypomobility) is related to post operative pain after rotator cuff repair: radiographic and arthrographic comparison between patients with postoperative pain and those without it

Patients with shoulder pain after rotator cuff repair had reduced capacity and motion of the glenohumeral joint

Importance of joint mobilization to restore normal GH arthrokinematics

### **Treating Soft Tissue Hypomobility**

The treatment of stiffness of the shoulder after repair of the rotator cuff.

“The postoperative treatment has a direct bearing on the prevalence of shoulder stiffness after a repair of the RTC.”

### **Treating Hypomobility**

“The aim during the initial phase of treatment (the first 6 weeks after the operation) is to protect the RTC repair until it has healed while preserving passive motion.”

“Thus, failure to begin passive ROM in the first week after the operation can lead to loss of motion.”

Accessory movements including caudal glides, posterior glides, and anterior glides in 30° scaption

Physiological movements in flexion, scaption, ER (based on type of repair), IR

### **Rehabilitation**

Determine effectiveness of posterior capsule joint mobilization on those with internal rotation motion loss

49 healthy college age subjects

20 Stretching only

19 Stretching + posterior capsule joint mobs

### **Stretching/Mobilization**

### **Rehabilitation**

Randomized into 2 groups

Inclusion criteria

Asymptomatic

At least 10 degree loss of internal rotation motion

8 week treatment

Both groups increased internal rotation motion

Trends toward more motion improved with the addition of posterior capsule joint mobilization

### **EMG Research and Rotator Cuff Rehabilitation**

### **EMG Research**

EMG of the shoulder: an analysis of passive modes of exercise.

Supraspinatus: compared to MVC

### **EMG Research**

Pulley Exercise – 17.6% MVC

Self-Assisted Bar Raise – 8.7% MVC

CPM Machine – 5.0% MVC

Codman's Pendulum Exercises - ~5.0%

PT – Passive Range of Motion - ~5.0%

### **Pendulum Exercises**

Conclusions: These results indicate that CPM and PT – PROM, by being more passive, may increase the safety margin for obtaining early PROM without disrupting the RTC repair

### **Guidelines**

Many of the following factors will determine when AAROM, AROM, and RROM can be

initiated in the rehabilitation program

AAROM

Intramuscular fine-wire EMG

Passive, active and resistive exercises

“**Supine** Phase I exercises should be considered in the early postoperative period after shoulder surgery to achieve maximum motion while minimizing shoulder muscle activity.”

### **Aquatic Therapy**

#### **Supraspinatus:**

Land – EMG – 16.68% MVC

Aquatics – EMG – 3.93% MVC

“These data suggest that shoulder elevation in the water at slower speeds resulted in a significantly lower activation of the rotator cuff and synergistic muscles. This decreased muscle activation during aquatic physical therapy allows for earlier active motion in the postoperative period without compromising patient safety.”

Strain on repaired tendons

Small and Large tears

Strain small above 30° abduction irrespective of position of flex/ext/rotation

### **Shoulder Position**

9 cadaveric shoulders

Strains on supraspinatus during joint mobilizations at 0 and 30° abduction

Intact and repaired tendons

Strain at 30° abduction in repaired tendon smaller than at 0°

At 30° abduction strain during joint mob not different except for inferior glide

Gap distances were 0 at 30° abduction

Gap distance 1.06 to 1.46 at 0° abduction

\*\* Study on small induced tears

\*\* Cannot assume same as large/massive tears

### **Shoulder Position**

Cadaver study

Strain at 0 and 30° abduction

Tensile forces 30° in scapular plane = 0.5 kg

Tensile forces 0° in neutral = 3.0 kg

The strain in all of the planes decreased significantly with the arm elevated more than 30 degrees.

We concluded that more than 30 degrees of elevation in the coronal or scapular plane and rotation ranging from 0 degrees to 60 degrees of external rotation compose the **safe range** of motion after repair of the rotator cuff.

### **Tendon Strain**

Failure load of repaired tendon

75-605 N

Failure load intact tendon

600-800 N

### **Massive Cuff Tendon Strain**

Gap formation in arthroscopic massive open RTC repairs

Posterior repair site of gap formation

Bone quality?

Overall force vector of cuff may be directed posteriorly

May be non issue since most now do double row suture anchors

Double row suture anchors superior to single-row

### **Resisted Range of Motion**

RROM exercises are begun at various time intervals depending on all the various characteristics of the RTC, type of surgery, etc.

Protective to the surgical repair

Shortened musculo-tendon unit length-tension

Neuro-motor control

Submaximal

Pain-free

### **AROM Based on Tear Size**

Generally

Small Tears (< 1.0 cm) 4 weeks

Medium Tears (1-3 cm) 6 weeks

Large Tears (3-5 cm) 8 weeks

Massive Tears (> 5 cm) 12 weeks

### **Conservative Sling Use**

Small Tears (< 1.0 cm) 4 weeks

Medium Tears (1-3 cm) 6 weeks

Large Tears (3-5 cm) 8 weeks

Massive Tears (> 5 cm) 12 weeks

### **Sling Use per Wilk et al.**

Small Tears (< 1.0 cm) 7-10 days

Medium Tears (2-4 cm) 2-3 weeks

Large Tears (2-4 cm) 2-3 weeks

Massive Tears (> 5 cm) abduction pillow 1-2 weeks; Sling 2-3 weeks

## **Arthroscopic SUD, Partial RTC/Debridement and Small (< 1 cm) RTC Repair Protocol**

### **Phase I: Immediate Post Surgical Phase: Weeks 0-4**

#### **Goals**

Reestablish non-painful ROM

Maintain integrity of repair

Retard muscular atrophy

Prevent muscular inhibition

Decrease pain/inflammation

Independence in modified ADLs

#### **Precautions**

No AROM

No lifting objects, reaching behind back, excessive stretching or sudden movements

Maintain arm in brace, sling

Sling use for 4-5 weeks

No support of body weight by hands

Keep incisions dry and clean

No passive pulley exercise yet

**Criteria for Progression to Phase II**

Passive forward elevation to  $\geq$  125

Passive ER in scapular plane to  $\geq$  75

Passive IR in scapular plane to  $\geq$  75

Passive abduction in scapular plane = 90

**Range of Motion**

Pendulum exercises

Abduction brace/sling (sleep also)

No rope and pulley

Finger, wrist and elbow AROM

Gripping exercises

Passive PROM shoulder – supine

Flexion to 110

ER/IR in scapular plane  $<$ 30

Cervical spine AROM

**Passive ROM**

Nourishment of articular cartilage

Assists in collagen synthesis

Assists in collagen organization

**Immobilization in 45° ABD**

Measured passive tension in supraspinatus at time of repair

Shoulder adduction increases tension in repair

Shoulder abduction decreases tension in repair

**Mobilization in 30-45° ABD**

Shoulder abduction decreases tension in repair

Education

Posture

Joint protection

Importance of brace/sling

Pain medication use

Pain and inflammation

Cryotherapy

E-stim

**Keys to Immediate Phase**

Make sure PROM is gentle enough to minimize muscle guarding and splinting.

Ensure proper sling positioning with adequate wrist support.

Should be no excessive pain with ROM.

**Phase I: Immediate Post Surgical Phase: Days 7-35**

Continue Sling use

Pendulum exercises

PROM: Supine

Flex: to tolerance

ER in scap plane:  $>$ 30

IR in scap plane: to body/chest

Elbow, hand, forearm, wrist and finger AROM

Resisted isometrics/isotonics for elbow, hand, forearm, wrist and fingers

Begin **gentle** glenohumeral **submaximal** isometrics in “Balance position”

“Balance position” = 90-100° elevation while supine

Used so that the deltoid muscle generates a more horizontal (compressive force)  
In supine this position will activate the cuff without superior migration of humeral head from deltoid activity that would occur in seated.

Continue cryotherapy

Conditioning program

Walking

Stationary bike

Aquatherapy 3 weeks if wounds healed

### **Phase II: Protection and Protected AROM Phase: Week 5-12**

#### **Goals:**

Allow healing of soft tissue

Do not overstress healing tissue

Normalize arthrokinematics

#### **Goals:**

Gradually restore full PROM ~ week 5-6

Improve neuromuscular control of shoulder complex

#### **Precautions:**

No lifting

No support of full body weight on hands

No sudden jerking motions

No excessive behind back motions

No bike or ergometer until week 6

#### **Criteria to progress to Phase III**

Full ROM

Minimal pain and tenderness

Good MMT of IR, ER, Flexion

Continue with brace until week 4-5

Gradually wean out of brace

DC sling by end of 6<sup>th</sup> week

### **Keys to Protection and Protected Motion Phase**

Do not initiate scapula or shoulder muscle activation exercises until overall pain in shoulder is low.

Exercises can not/should not create pain or increased symptoms

### **Phase II: Protection and Protected AROM Phase: Weeks 5-6**

#### **Range of Motion**

Initiate AROM shoulder flexion from supine position

Progressive PROM until full ~ week 6

May use heat prior to ROM/exercise/mobilization

Can use passive pulley now

#### **Range of Motion**

Normalize arthrokinematics of shoulder complex

Joint mobilizations

Controlled L-bar ROM

Self-stretches (capsular)

### **Phase II: Protection and Protected AROM Phase: Weeks 7-9**

#### **Active Assisted ROM to tolerance**

Flex

ER/IR scapular plane  
ER/IR supine at 90/90

**Passive Range of Motion**

Flexion: Full

ER at 90: To tolerance

IR at 90: To tolerance

Begin more aggressive IR stretching

Mobilization of posterior capsule/cuff critical

Begin shoulder extension, cross body and sleeper stretch

**Strengthening**

Initiate gentle RTC submaximal isometric exercises

Initiate AROM in other planes (flexion, scapular plane, abduction, ER, IR)

Pain-free – weight of arm

RTC/Scapular muscle small – so low load higher repetition

Initiate light isotonic program with dumbbells

Side lying

Shoulder musculature

Scapulothoracic musculature

Initiate neuromuscular control exercises

Initiate trunk exercises

Initiate UE endurance exercises

**Phase III: Early Strengthening Phase: Weeks 10 to 16**

**Goals**

Full AROM

Maintain full PROM

Dynamic shoulder activities

**Goals**

Gradual restoration of GH and ST strength, power and endurance

Gradual return to functional activities

Optimize neuromuscular control

**Precautions**

No lifting > 5 lbs

Exercises should be non-painful

**Criteria for Progression to Phase IV**

Ability to tolerate progression to low-level functional activities

Demonstrate return of strength/dynamic shoulder stability

Re-establishment of dynamic shoulder stability

**Phase III: Early Strengthening Phase: Week 10**

**Initiate strengthening program**

Continue exercises from weeks 7-9

Scapular plane elevation

Full can

Rowing

Prone rowing

Prone horizontal abduction

**Phase III: Early Strengthening Phase: Week 12**

**Strengthening program**

Continue exercises from weeks 10

BodyBlade

Flexbar  
Boing  
Light isometrics in 90/90  
PNF D2 flexion/extension against light manual resistance  
Initiate light functional activities as tolerated

**Phase III: Early Strengthening Phase: Week 14**

**Strengthening program**

Continue all previous exercises  
Progress to fundamental exercises  
Bench press  
Shoulder press  
Initiate low level plyometric exercises  
2-handed drills progressing to 1-handed

**Phase IV: Advanced Strengthening Phase: Weeks 16-22**

**Goals**

Maintain full non-painful AROM  
Advanced conditioning exercises for enhanced functional and sports specific use  
Improve muscular strength, power and endurance  
Gradual return to all functional activities

**Range of Motion**

Continue ROM and self capsular stretching prn

**Strengthening**

Continue progressive strengthening  
Advanced proprioceptive neuromuscular activities  
Continue dynamic stabilization  
Dumbbell strengthening  
Initiate tubing exercises side lying  
ER/IR (slow and fast sets) tubing or side lying  
Light isotonic exercise in 90/90

**Phase IV: Advanced Strengthening Phase: Weeks 20**

**Strengthening**

Continue dynamic stabilization  
Joint mobilization if tight  
Initiate interval sports programs if appropriate  
Golf  
Tennis  
Swimming  
Throwing

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